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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/631,265	08/02/2000	David C. Taylor	1785.2.2	8423

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EXAMINER

CHANNAVAJJALA, SRIRAMA T

ART UNIT PAPER NUMBER

2177

DATE MAILED: 09/06/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/631,265

Applicant(s)

TAYLOR, DAVID C.

Examiner

Srirama Channavajjala

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 02 August 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 02 August 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

**DETAILED ACTION**

1. Claims 1-22 are presented for examination.

***Drawings***

2. The drawings filed on 8/2/2000 are approved by the Draftsperson under 37 CFR 1.84 or 1.152.

***Priority***

3. Applicant's claim for domestic priority under 35 U.S.C. 119(e) is acknowledged based on the provisional application SI.No. 60/146,878 filed on 8/3/1999.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

4. Claims 1-10, 12-22 are rejected under 35 U.S.C. 102(e) as being anticipated by Maynard, US Patent No. 6175830.

5. As to Claim 1, 14, 17, Maynard teaches a system which including 'extracting information desired by a user from a source' [see Abstract], extracting information desired by a user from a source corresponds to retrieving and displaying information such as document, a number of individual documents, more specifically web pages resident on the Internet which are interest to the users from the search results as detailed in the Abstract, 'an input module for acquiring text from a user' [col 13, line 53-58], Maynard teaches search engine, more specifically user entering the search query element 82, may include search word or phrases through user interface that corresponds to input module for acquiring text from a user, 'a filtering module configured to receive the text from the input module and compare the text to a corpus to acquire a micro-context relevant to the text' [col 13, line 13-17, line 25-29, col 14, line 4-15, line 44-53], Maynard specifically teaches search engine can be configured to include optional filter that will filter out web sites that corresponds to filtering module, further, Maynard also teaches search module utilizing search query to search through the database records element 80a-80z to find the database records element 86 matching the words or phrases in the search query as detailed in col 14, line 4-7, it is also noted that Maynard directed to search engine will search through database records for matches between search word or phrase and the non-common words or phrases that corresponds to matching the micro-context relevant to the text, 'filtering module configured to locate the information by matching the micro-context to a database' [col 14, line 44-53], locate the information by matching the micro-context to a database

corresponds to match between search word or phrase and the non-common word or phrase contained within the database records as detailed in col 14, line 44-53, 'a context construction module configured to receive text from the input module and combine words in the text to form a micro-context characteristic of the information' [col 4, line 6-13, col 4, line 17-26], 'a context comparison module configured to receive the micro-context from the context construction module and acquire a macro-context relevant to the information by comparing the micro-context to the corpus' [col 3, line 64-67, col 4, line 1-5], examiner interpreting macro-context relevant corresponds to web pages, comparing the micro-context to the corpus corresponds to embedded categorical tags in the database as detailed in col 3, line 64-67, col 4, line 1-5, 'an information matching module configured to receive the macro-context from the context comparison module and determine a location of the macro-context in the database' [col 3, line 64-67, col 4, line 1-5, line 33-51], Maynard specifically teaches each database record preferably includes an address or pointer to the corresponding finite element that corresponds to determine the location of the information in the database, 'the database being contextually indexed for searching by context' [col 6, line 30-39], Maynard teaches specifically index module that is used in searchable databases as detailed in fig 1, col 6, line 30-33, 'a presentation module configured to receive the information and present the information to a user' [fig 1, col 5, line 40-52, col 12, line 34-38], Maynard teaches displaying the search results that corresponds to presenting the information to a user.

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6. As to Claim 2, Maynard teaches a system which including 'micro-context is independent of a hierarchical ordering of the database' [col 6, line 6-13].

7. As to Claim 3, Maynard teaches a system which including 'filtering module comprises a context construction module configured to receive text from the input module and combine words in the text to form the micro-context further being characteristic of the information' [col3, line 64-67, col 4, line 1-5, col 13, line 13-17, line 25-29, col 14, line 4-15, line 44-53], Maynard specifically teaches search engine can be configured to include optional filter that will filter out web sites that corresponds to filtering module, examiner interpreting macro-context relevant corresponds to web pages, micro-context to the corpus corresponds to embedded categorical tags in the database as detailed in col 3, line 64-67, col 4, line 1-5.

8. As to Claim 4, Maynard teaches a system which including 'filtering module further comprises a context comparison module configured to receive the micro-context from the context construction module and acquire a macro-context relevant to the database by comparing the micro-context to the corpus' [col3, line 64-67, col 4, line 1-5, col 4, line 33-46, col 9, line 56-60, col 13, line 13-17, line 25-29, col 14, line 4-15, line 44-53], Maynard specifically teaches search engine can be configured to include optional filter that will filter out web sites that corresponds to filtering module, further Maynard teaches identifying finite elements that including identifying sections or sub-sections within the documents or data stream that is relevant to the database [see col 4, line 33-36], also

Maynard suggests create an automatic tool for matching patterns that distinguish segments or elements within any type of information resources [see col 9, line 56-60].

9. As to Claim 5, the limitations of this claim have been noted in the above rejection of claim 4 above, in addition, Maynard disclosed 'determine a location of the macro-context in the database, the database being contextually indexed for searching by context' [col 3, line 64-67, col 4, line 1-5, line 33-51, col 6, line 30-39], Maynard specifically teaches each database record preferably includes an address or pointer to the corresponding finite element that corresponds to determine the location of the information in the database, Maynard teaches specifically index module that is used in searchable databases as detailed in fig 1, col 6, line 30-33.

10. As to Claims 6, 15, 20, Maynard teaches a system which including 'presentation module is configured to selectively present the information in a format designated by a user' [fig 1, col 5, line 40-52, col 12, line 34-38], Maynard teaches displaying the search results that corresponds to presenting the information to a user.

11. As to Claims 7 and 16, Maynard teaches a system which including 'mining module configured to independently add new data to the database by selectively retrieving the new data from the source' [col 6, line 13-18].

12. As to Claim 8-10, Maynard teaches a system which including 'mining module retrieves data from the source over a network' [col 14, line 17-19], network corresponds to Internet.

13. As to Claims 12 and 22, Maynard teaches a system which including 'updating module configured to independently update the information periodically after presentation to a user' [col 13, line 25-29, line 49-52].

14. As to Claim 13, Maynard teaches a system which including 'database further comprises a subset configured to store the information for future access by a user' [col 13, line 40-49].

15. As to Claim 18, Maynard teaches a system which including 'combining relevant words in the text to form a micro-context characteristic of the information before the step of comparing the text to a corpus' [col 14, line 4-15]

16. As to Claim 19, Maynard teaches a system which including 'locating information that matches the macro-context in a database comprises searching through indices in the database similar in format to the macro-contexts, and returning the information linked to indices which correlate to the macro-contexts' [col 3, line 64-67, col 4, line 1-5,



line 33-51, col 6, line 30-39], Maynard specifically teaches each database record preferably includes an address or pointer to the corresponding finite element that corresponds to determine the location of the information in the database, Maynard teaches specifically index module that is used in searchable databases as detailed in fig 1, col 6, line 30-33.

17. As to Claim 21, Maynard teaches a system which including 'selectively retrieving data from the source over a network to add to the database' [[col 6, line 13-18, col 14, line 17-19], network corresponds to Internet.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Maynard, US Patent No. 6175830 as applied to claim1 above, and further in view of Franklin et al., [hereafter Franklin], US Patent No. 6125352

18. As to Claim 11, Maynard does not specifically teach 'information includes data about products purchasable by a user over the Internet', although Maynard specifically teaches information such as Web pages resident on the Internet and to display the results of the search based on the user-selected criteria [see col 3, line 33-36]. On the

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other hand, Franklin teaches a system which including 'information includes data bout products purchasable by a user over the Internet' [col 1, line 14-18, fig 1, fig 4].

It would have been obvious to one of the ordinary skill in the art at the time of applicant's invention to incorporate the teachings of Franklin et al. into information management, retrieval and display system of Maynard because both are directed to information management, retrieval and display of the information to the user [see Maynard Abstract; Franklin, Abstract], more specifically, Maynard is directed to various modules that including indexing, search modules searching database having a database record for each of the finite elements identified, further each database record includes an address or location of the corresponding finite elements [see col 2, line 5-14], while Franklin specifically directed to conducting commerce over the distributed network manage merchant and product information in an electronic shopping though user interface allowing users to search and shop for products [see col 8, line 19-28]. One of the ordinary skills in the art at the time of applicant's invention would have been motivated to combine the references because that would have allowed users of Maynard's information management, retrieval and display system to control which relative product information or product data of individual products that are purchased on the internet satisfies his or her needs as suggested by Franklin et al [col 9, line 61-67, col 10, line 1-9].

***Conclusion***

**The prior art made of record**

- a. US Patent No. 6175830
- b. US Patent No. 6125352

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure


- c. US Patent No. 6438539
- d. US Patent No. 6021409
- e. US Patent No. 6289342
- f. US Patent No. 6029165
- g. US Patent No. 6314420
- h. US Patent No. 5724571
- i. US Patent No. 5873079
- j. US Patent No. 6260077
- k. US Patent No 6073167
- l. WO9623265

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Srirama Channavajjala whose telephone number is (703) 308-8538. The examiner can normally be reached on Monday-Friday from 8:00 AM to 5:30 PM Eastern Time. The TC2100's Customer Service number is (703) 306-5631.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John E. Breene, can be reached on (703) 305-9790. The fax phone numbers for the organization where the application or proceeding is assigned are as follows:

703/746-7238	<b>(After Final Communication)</b>
703/746-7239	<b>(Offical Communications)</b>
703/746-7240	<b>(For Status inquiries, draft communication)</b>
(703) 308-6606	<b>(Art Unit)</b>

Any inquiry of general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-9600.

SC   
Patent Examiner.  
September 4, 2002.